#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56, §1.97, and §1.98
a 10th & PTO-1449 FORM

Sheet 1 of 4

ATTORNEY DOCKET NO.: 38203-6082B

SERIAL NO.: 10/775,718

APPLICANTS: Smith et al

FILING DATE: 02/09/04

GROUP ART UNIT: Unknown 2876

U.S. PATENT DOCUMENTS						
† EX'R INITIAL	*REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
KCK		4,757,207	07/12/88	. Chappelow et al	250/491.1	3/3/87
KCK		4,861,148	08/29/89	Santo et al.	350/505	3/11/87
KCK		4,929,083	. 05/29/90	Brunner	356/123	3/20/89
KCK		5,124,927	06/23/92	Hopewell et al	250/491.1	3/2/90
KCK		5,262,257	11/16/93	Fukuda et al	250/492.2	1/11/93
KCK		5,285,236	02/08/94	Jain	355/53	9/30/92
KCK		5,438,413	08/01/95	Mazor et al.	356/363	3/3/93
KCK		5,444,538	08/22/95	Pellegrini	356/401	3/10/94
KCK		5,477,058	12/19/95	Sato	250/548	11/9/94
KCK		5,700,602	12/23/97	Dao et al	430/22	10/30/95
KCK		5,757,507	05/26/98	Ausschnitt et al.	356/401	11/2/95
KCK		5,805,290	09/08/98	Ausschnitt et al	256/401	5/2/96
KCK		5,824,441	10/20/98	Farrow et al	430/22	12/3/96
KeK		5,877,861	03/02/99	Ausschnitt et al.	356/401	11/14/97
KeK		5,953,128	09/14/99	Ausschnitt et al	250/548	8/28/97
KCK		6,023,338	02/08/00	Bareket	356/401	7/12/96
KCK		6,064,486	05/16/00	Chen et al	356/399	5/21/98
KIK		6,079,256	06/27/00	Bareket	73/105	12/7/98
KK		6,130,750	10/10/00	Ausschnitt et al	356/401	8/18/97
KCK		6,137,578	10/24/00	Ausschnit	356/399	6/28/98
KCK		6,142,641	11/07/00	Cohen et al	359/731	6/18/98
KCK		6,143,621	11/07/00	Tzeng et al.	438/401	6/14/99
KUK		6,153,886	11/28/00	Hagiwara et al	250/548	9/28/99
KCK		6,163,366	12/19/00	Okamoto et al	355/53	11/12/97
KCK		6,204,912	03/20/01	Tsuchiya et al	355/53	5/8/97
KCK		6,218,200	04/17/01	Chen et al	356/399	7/14/00
KUK		6,417,929	07/09/02	Ausschnitt et al	056/634	11/20/00

**EXAMINER'S SIGNATURE** 

Kumiko C. Koyama

DATE CONSIDERED

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

Sheet 2 of 4

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38203-608	32B	

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			FOR	EIGN PATENT DOCUMENTS	
†EX'R INITIAL	*REF.#	·			TRANSLATION (YES/NO)
	ļ		N/A	N/A	
			<u> </u>		<u> </u>
. 5.45	1	r		OTHER DOCUMENTS	
† EX'R INITIAL	*REF. #		CITATION (A	uthor, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)	
KCK		Armitage Jr., J.D. a	nd Kirk, J.P., "/	Analysis of overlay distortion patterns", SPIE, <u>921</u> :207-222, (1	988)
KCK		Biesterbos <i>et al.</i> , "A new lens for aubmicron lithography and its consequences for wafer stepper design", <i>SPIE</i> , 633:34-43, (1986)			
KEL		Bjorkholm et al., "Reduction imaging at 14 nm using multilayer-coated optics: printing of features smaller than 0.1 μm", J. Vac. Sci. Technol.B., 8(6):1509-1543, (1990)			
KCK		Bruning et al., "Optical Lithography – Thirty years and three orders of magnitude", SPIE, 3051:14-27, (1997)			
KCK		Cote et al., "Micrascan™ III-performance of a third generation, catadioptric step and scan lithographic tool", SPIE, 3051:806-816, (1997)			
KCK		DeJule, R., "Mix-and Match: A Necessary Choice", Semiconductor International, 23(2): 66-76, (Feb, 2000)			
KCK		Dooly, T. and Yang, Y., "Stepper matching for optimum line performance", SPIE, 3051:426-432, (1997)			
KCK		Goodwin, F. and Pellegrini, J.C., "Characterizing Overlay Registration of Concentric 5X and 1X Stepper Exposure Fields using Interfield Data", SPIE, 3050:407-417, (1997)			
KeK		Goodwin, F., "Expanding capabilities in existing fabs with lithography tool-matching", Solid State Technology, 97-106, (June 2000)			
KeK		Handbook of Microlithography, Micromachining, and Microfabrication, Book: Vol. 1, "Microlithography", Rai-Choudhury, P. (Ed.), SPIE Optical Engineering Press, SPIE, Bellingham, Washington, pages 417-418, (1997)			
KK		Hasan et al., "Automated Electrical Measurements of Registration Errors in Step-and-Repeat optical Lithography Systems", IEEE Transactions on Electron Devices, ED27(12):2304-2312, (1980)			
KIK		Kenp et al., "A "golden standard" wafer design for optical stepper characterization", SPIE, 1464:260-266, (1991)			260-266,
KCK		KLA 5105, "Linewide Corporation, 2 page	th and Misregises, (1995)	stration System", KLA 5105 Product Specifications, KLA Instru	uments
KCK		Kodama, K. and Ma	itsubara, E., "M	leasuring system XY-5i", <i>SPIE</i> , <u>2439</u> :144-155, (1995)	
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Kumiko C. Koyama

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Sheet 3 of 4

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KLK	Leica LMS IPRO, "Fully automated mask and wafer metrology system", Leica, pamphlet pages 1-5.
KCK	Lin, B.J., "The Attenuated Phase-Shifting Mask", Solid State Technology, Special Series/Advanced Lithography, 35(1):43-47, ( January 1992)
KIK	Martin et al., "Measuring Fab Overlay Programs", SPIE, 3677:64-71(1999)
KCK	Mc Fadden, E.A. and Ausschnitt, C.P., "A Computer Aided Engineering Workstation For Registration Control", SPIE, 1087:255-266, (1989)
KUK	Mulkens <i>et al.</i> , "ArF Step And Scan Exposure System For 0.15 μm Technology Node?", <i>SPIE</i> , <u>3679</u> :506-521, (1999)
KeK	Müller et al., "Large Area Fine Line Patterning By Scanning Projection Lithoghraphy", MCM proceedings, pgs. 100-104, (1994)
KCK	Newnam, B.E. and Viswanathan, V.K., "Development of XUV projection lithograph at 60-80 nm", SPIE, 1671;419-436, (1992)
KU	Nikon Lithography Tool Brochures (Japanese Nikon)
KCK	Numerical Recipes, "The Art of Scientific Computing", Press et al. (Eds.), Cambridge University Press, New York, pages 52-64 (1990).
KCK	Pellegrini, J.C., "Comparisons of Six Different Intrafield Control Paradigms in an Advanced Mix-and-Match Environment", SPIE, 3050:398-406, (1997)
KCK	Pellegrini et al., "Super Sparse Overlay Sampling Plans: An Evaluation of Methods and Algorithms for Optimizing Overlay Quality Control and Metrology Tool Throughput", SPIE, 3677:72-82, (1999)
KK	Preil, M.E. and McCormack, J.F.M., "A New Approach to Correlating Overlay and Yield", SPIE, 3677:208-216, (1999)
KU	Progler et al., "Method to Budget and Optimize Total Device Overlay", SPIE, 3679:193-207, (1999)
KUK	Quaestor Q7, "Fully Automated Optical Metrology System for Advanced IC Production", Quaestor Q7 Product Specification, BIO -RAD, 2 pages
KUK	Raugh, M.R., "Error estimation for lattice methods of stage self-calibration", SPIE, 3050:614-625, (1997)
KUK	Starikov et al., "Accuracy of overlay measurements: tool and mark asymmetry effects", Optical Engineering, 31(6):1298-1310, (1992)
KIK	Sullivan, N.T., "Semiconductor Pattern Overlay", SPIE Critical Reviews of Optical Science and Technology, CR52:160-188, (1994)
KCK	Takac et al., "Self-calibration in two-dimensions: the experiment", SPIE, 2725:130-146, (1996)

EXAMINER'S SIGNATURE	Kumiko C.	Koyami	DATE CONSIDERED 11/9/04
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KCK	v.d. Brink et al., "Direct-referencing automatic two-points reticle-to-wafer alignment using a projection column servo system", SPIE, 633:60-71, (1986)
KUK	van den Brink et al., "Matching Management Of Multiple Wafer Steppers Using A Stable Standard And A Matching Simulator", SPIE, 1087:218-232, (1989)
KIK	van den Brink <i>et al.</i> , "Matching Of Multiple Wafer Steppers For 0.35 μm Lithography Using Advanced Optimization Schemes", <i>SPIE</i> , 1926:188-207, (1993)
KCK	van den Brink et al., "Matching Performance For Multiple Wafer Steppers Using An Advanced Metrology Procedure", SPIE, 921:180-197, (1988)
KUC	van den Brink et al., "New 0.54 Aperture i-Line Wafer Stepper With Field By Field Leveling Combined With Global Alignment", SPIE, 1463:709-724, (1991)
KCK	van den Brink et al., "Step-And-Scan And Step-And-Repeat, A Technology Comparison", SPIE, 2726:734-753, (1996)
KU .	van Schoot et al., "0.7 NA DUV Step & Scan System For 150nm Imaging With Improved Overlay", SPIE, 3679:448-463, (1999)
KLK	Yost, A. and Wu, W., "Lens matching and distortion testing in a multi-stepper, sub-micron environment", SPIE, 1087:233-244, (1989)
KU	Zavecz et al., "Life Beyond Mix-and-Match: Controlling Sub-0.18 μm Overlay Errors", Semiconductor International, 23(8):205,206,208,210,212 and 214, (July, 2000)
Kek	Zavecz, T.E., "Machine Models and Registration", SPIE Critical Reviews of Optical Science and Technology, CR52:134-159 (1994).

SD 656917 v1 (38203.6082)

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Kumiko C. Rojima

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